JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 31.12.2012

(I) (a) Statement showing Shareholding Pattern

	ODE : 532642 Name of Scrip : JSWHL Class of Security : Equity	Quarter Ended : 31.12	.2012	
Cate-		Number of	As a % of total	As a % of total
gory	Partly paid up shares	partly paid	number of partly	number of shares
Code		up shares	paid up shares	of the Company
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
		Number of	As a % of total	As a % of total
	Outstanding Convertible Securities	outstanding	No. of outstanding	No. of shares of the
		Securities	Con. Securities	Company, assuming
				full conversion of the
				Securities
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
		Number of	As a % of total	As a % of total
		warrants	number of warrants	number of shares
	Warrants			of the Company
				assuming full
				conversion of warrants
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
	Total paid up capital of the Company, assuming full	1,10,99,625	shares of Rs.10/- each	
	conversion of warrants and convertible securities		.11,09,96,250/-)	

Cate-		Number of	Total No.	Number of	Total shareh	olding as	Share	es pledged or	
gory	Category of	Share-	of shares	shares held	a percentag	ge of total	otherwise encumbered		
Code	Shareholders	holders		in demated	number of		Number of	As a	
				form	As a perce		Shares	%	
					(A+B) ¹	(A+B+C)			
(1)	(11)	(Ш)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100	
(A)	Shareholding of Promoter								
(4)	and Promoter Group ²								
(1)	Indian Individuals/H.U.F	22	27642	27642	0.25	0.25			
(a)	Central/State Government(s)	0	27642 0	27642 0	0.25	0.25			
(b) (c)	Bodies Corporate	18	6174794	6174794	55.63	55.63			
(d)	Financial Institutions/Banks	0	0174794	0174794	0.00	0.00			
(e)	Any Other (specify)	Ű	Ŭ	Ű	0.00	0.00			
(0)	Employee Welfare Trust	0	0	0	0.00	0.00			
	M&M Benfit Trust	0	0	0	0.00	0.00			
	Sub-Total (A)(1)	40	6202436	6202436	55.88	55.88	N.A.	N.A.	
(2)	Foreign	-							
\ - /									
(a)	Non Resident Individuals/Foreign Nationals								
(a) (b)	Bodies Corporate								
(c)	Institutions							1	
(d)	Any Other (specify)							1	
()	Sub_Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A	
	Total holding of Promoter and	•	•	•	0.00	0.00			
	Promoter Group	40	6202436	6202436	55.88	55.88	N.A.	N.A	
	(A)=(A)(1)+(A)(2)	40	0202430	0202430	55.00	55.00	11.4.	1.0	
(B)	Public Shareholding3								
(1)	Institutions								
(a)	Mutual Fund/UTI	14	4526	3665	0.04	0.04			
(b)	Financial Institutions/Banks	12	5568	4950	0.05	0.05			
(c)	Central/State Government(s)	0	0	0	0.00	0.00			
(d)	Venture Capital Funds	0	0	0	0.00	0.00			
(e)	Insurance Companies	1	107172	107172	0.97	0.97			
(f)	Foreign Institutional Investors	28	641607	640345	5.78	5.78			
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00			
	Sub-Total (B)(1)	55	758873	756132	6.84	6.84	N.A.	N.A	
(2)	Non Institutions								
(a)	Bodies Corporate	1124	1167561	1161817	10.52	10.52			
(b)	Individuals								
	i) Holding nominal share capital upto Rs. 1 lakh	33843	2411090	2198685	21.72	21.72			
	ii) Holding nominal share capital in excess of Rs. 1 lakh	14	358517	358517	3.23	3.23			
(c)	Any Other(specify) Individual								
	Non-Resident Individual	1436	190923	152848	1.72	1.72			
	Foreign National	0	0	0	0.00	0.00			
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09			
	Foreign Bodies	0	0	0	0.00	0.00			
	Trust	1	200	200	0.00 0.00	0.00			
	Clearing Member	0	0	3882067			NI A	NI A	
	Sub-Total (B)(2)	36420	4138316	3882067	37.28	37.28	N.A.	N.A	
	Total Public shareholding								
	(B)=(B)(1)+(B)(2)	36475	4897189	4638199	44.12	44.12			
			11099625	10840635	100.00	100.00	N.A.	N.A	
	TOTAL (A)+(B)	36515	11033023						
(C)	TOTAL (A)+(B) Shares held by Custodians and against which Depository	36515	11033023						
	TOTAL (A)+(B) Shares held by Custodians and against which Depository Receipts have been issued			0	0.00	0.00			
(C) 1 2	TOTAL (A)+(B) Shares held by Custodians and against which Depository	36515 0 0	0	0	0.00	0.00			

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of S	hares held	Enc	umbered share	es(*)	Details of warrants		Details of convertible securities		Total shares (including underlying
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
(1)	(11)	(111)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(a)	Individuals	, í	,	, <i>,</i> ,		, ,	, í		, í	. ,	
1	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
2	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
3	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
4	NAVEEN JINDAL & SONS (HUF)	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
-	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
7	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
-	PRITHVI RAJ JINDAL H U F	1122	0.01	0	0.00	0.00		NA	NA	NA	0.01
9	R.K. JINDAL & SONS HUF	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
10	RATAN JINDAL	1470	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
11	SAJJAN JINDAL	2197	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
12	S K JINDAL AND SONS HUF	1447	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	SANGITA JINDAL	1181	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	SAROJ BHARTIA	34		0	0.00	0.00		NA	NA	NA	0.00
15	SAVITRI DEVI JINDAL	1863	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
-	SHRADHA JATIA	1257	0.01	0	0.00	0.00		NA	NA	NA	0.01
	SMINU JINDAL	1381	0.01	0		0.00		NA	NA	NA	0.01
-	TANVI JINDAL	500		0	0.00			NA	NA	NA	0.00
-	TARINI JINDAL	1256	0.01	0	0.00	0.00		NA	NA	NA	0.01
-	TRIPTI JINDAL	1256		0	0.00			NA	NA	NA	0.01
	URMILA BHUWALKA	900		0	0.00			NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	Sub - Total (a)	27642	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25

Contd..

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.			Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities	
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage			As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
					(VI) =						
(1)	(11)	()	(IV)	(V)	(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
-	Abhinandan Investments Ltd	143262	1.29		0.00		NA	NA	NA	NA	1.29
	Colorado Trading Company Ltd	227060	2.05		0.00	0.00	NA	NA	NA	NA	2.05
	Gagan Trading Company Ltd.	538137	4.85	Ĺ	0.00	0.00	NA	NA	NA	NA	4.85
4	Jindal Equipment Leasing & Consultancy Services Ltd										
	•	419584	3.78		0.00	0.00	NA	NA	NA	NA	3.78
-	Nalwa Sons Investments Ltd	75		0	0.00	0.00	NA	NA	NA	NA	0.00
	Jindal Holdings Ltd	132095	1.19	0	0.00	0.00	NA	NA	NA	NA	1.19
	Mansarover Investments Ltd	267637	2.41	0	0.00	0.00	NA	NA	NA	NA	2.41
	Meredith Traders Pvt. Ltd.	3075		0	0.00		NA	NA	NA	NA	0.03
	Nalwa Investments Ltd	273587	2.46	C	0.00		NA	NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	C	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
	Rishikesh Finlease & Investments Pvt.										
12	Ltd.	1500	0.01	C	0.00	0.00	NA	NA	NA	NA	0.01
13	Stainless Investments Ltd	182734	1.65	C	0.00	0.00	NA	NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	C	0.00	0.00	NA	NA	NA	NA	3.29
15	Vrindavan Services Pvt. Ltd.	642400	5.79	C	0.00	0.00	NA	NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	C	0.00	0.00	NA	NA	NA	NA	0.85
17	Hexa Tradex Limited	334	0.00	C	0.00	0.00	NA	NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	C	0.00	0.00	NA	NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0	0.00	0.00	NA	NA	NA	NA	55.63
	Grand Total	6202436	55.88	C	0.00	0.00	NA	NA	NA	NA	55.88

(*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011

Sr. No.	Name of the	Number of	Shares as a	Details of	warrants	Details of c	onvertible securities	Total shares
	shareholder	shares held	percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	warrants	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	(including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
1	ACACIA PARTNERS	219500	1.98	NA	NA	NA	NA	1.9
2	ACACIA INSTITUTIONAL PARTNERS,LP	150000			NA	NA	NA	1.3
3	SOUND SECURITIES PVT LTD	140000	1.26					1.2
	TOTAL	509500			NA	NA	NA	4.5

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company Sr.No. Name(s) of the Shares as a Number of Details of warrants Details of convertible securities Total shares shareholder(s) and (including shares percentage of No of As a % of total Number of As a % of total number the Persons Acting in total number of underlying shares warrants number of convertible of convertible Concert (PAC) with assuming full shares {i.e., held warrants of the securities securities of the same them **Grand Total** conversion of same class held class (A)+(B)+(C) warrants and NIL

(l) (d)	Statement showing details of locked in shares							
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e.Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above}					
		N.A.						
	TOTAL							

(II) (a)	Statement showing deta	ils of Depository Re	eceipts (DRS)	
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			

(II) (b)	Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter / promoter group" are in excess of 1% of the total no.of shares								
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}					
			N.A.						
	TOTAL								

Category code	Category of shareholder		Voting Rightss of securit		Total Voting Rights	Total Voting Rights i.e. (VI)		
					As a %	A = = 0/		
		Class X	Class Y	Class Z	(III+IV+V)	of (A+B)	As a % of (A+B+C)	
(1)	(11)			0.000	. ,		. ,	
(1)	(II)	()	(IV)	(V)	(VI)	(VII)	(VIII)	
(A) (1)	Promoter and Promoter Group					<u> </u>		
(1) (a)	Individuals/Hindu Undivided Family							
(a) (b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
(-)	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Foreign							
(a)	Individuals (Non Resident/Foreign)						1	
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
* *	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	Total Shareholding of Promoter							
	and Promoter Group (A)=(A)(1) +							
	(A)(2)							
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/UTI							
(b)	Financial Institutions/Banks							
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Non Institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding	_					-	
	nominal share capital upto							
	Rs. 1 lakh ii.Individual shareholders holding						1	
	nominal share capital in excess						+	
	of Rs. 1 lakh.						+	
(c)	Any Other (specify)						+	
(~)	Sub-Total (B) (2)							
	Total Public shareholding (B)=(B)(1)+(B)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	TOTAL (A) + (B)	N.A.		N.A.	N.A.	N.A.	N.A.	
(\mathbf{c})	Shares held by Custodians and	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(C)	against which Depository Receipts						+	
							+	
	GRAND TOTAL (A)+(B)+(C)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	